

Power calculation for Cluster Randomised Trials (CRT) with truncated outcomes

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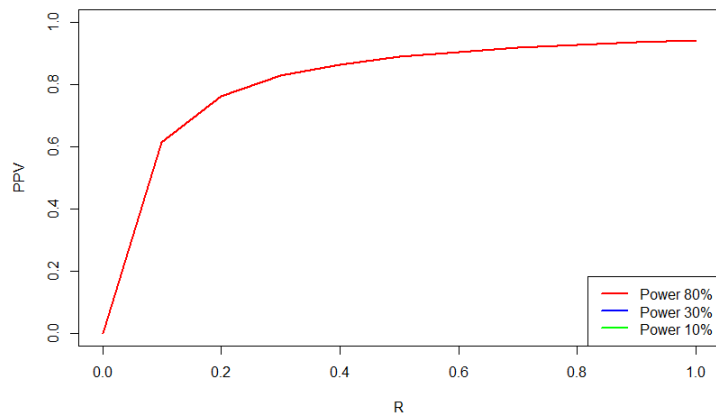
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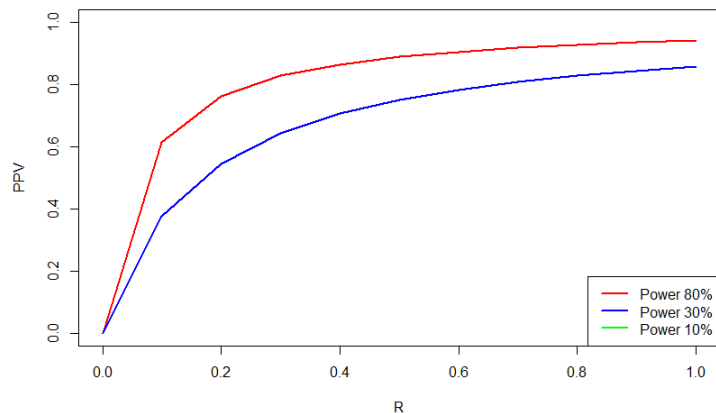
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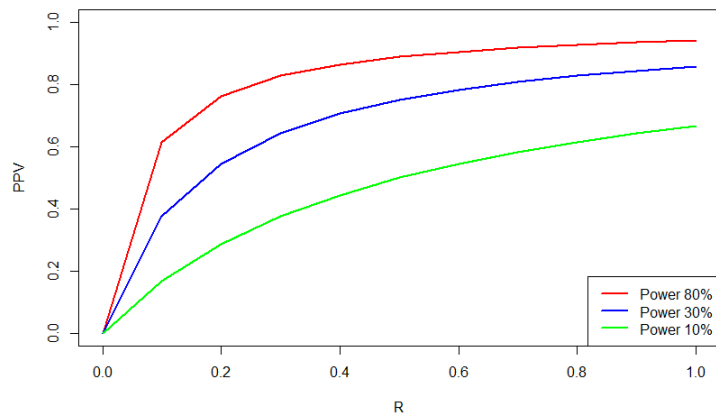
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- ▶ Minimum Detectable Effect Size (Δ)
- ▶ Variability ($T = \sigma_b^2 + \sigma_w^2$)
- ▶ Type I error (α)
- ▶ Type II error (β)

Objective

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1. All quantities pre-specified
2. Goal

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Objective

1. All quantities pre-specified
2. Goal
→ Sample size required for desired Power = $1 - \beta$

Formulae

1. Outcome (Normal, Binary, Count, Survival, ...)
2. Design complexities (CRT, Stepped Wedge, Cross-over trials ...)

(Amatya, Bhaumik, and Gibbons, 2013; Hayes and Bennett, 1999; Heo and Leon, 2008; Roy et al., 2007; Rutterford, Copas, and Eldridge, 2015)

Software

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(Amatya, Bhaumik, and Gibbons, 2013; Hayes and Bennett, 1999; Heo and Leon, 2008; Roy et al., 2007; Rutterford, Copas, and Eldridge, 2015)

Software

1. Stand-alone programs (*G*Power*, *PS*, *PASS*, *nQuery*)
2. R software (*pwr*, *TrialSize*, *PowerUpR^c*, *powerSurvEpi*)
3. SAS (*proc power*)
4. SPSS (*Sample Power*)
5. Stata (*power*)
6. Microsoft Excel (*PowerUpR^c*)
7. Specialist simulation software (*IcebergSim*, *FACT*, *Clinical trial simulation*)

See (Hickey et al., 2018) for a detailed list

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 - Lack of support for higher levels of variability
 - **Truncated outcomes not standard consideration**

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What's the big deal anyway?

1. **Standard PDF/ PMF assume events may occur across entire range of values**
2. **Gap between theory and practice warrants redress?**

Case study: malaria vector control trial

Human

Susceptible

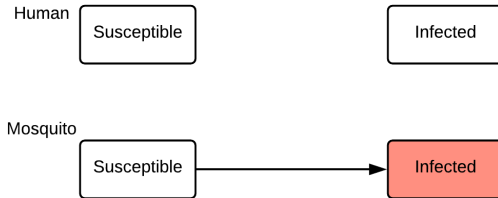
Infected

Mosquito

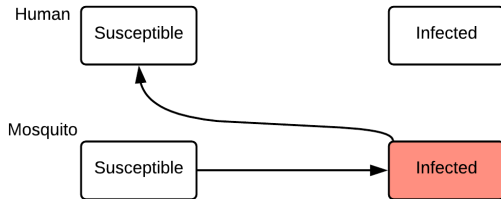
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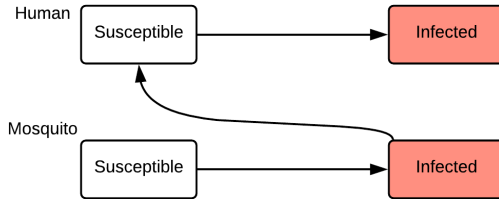
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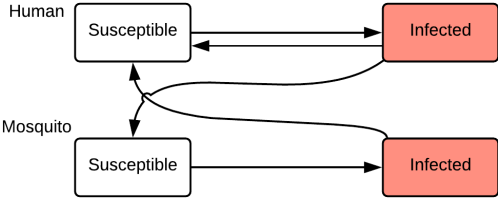
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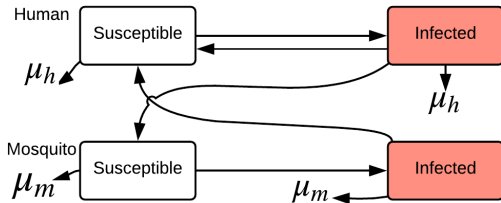


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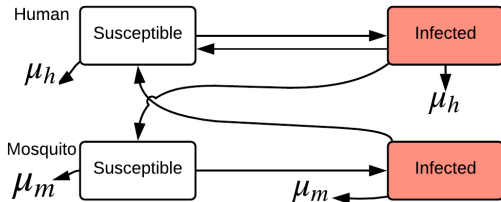


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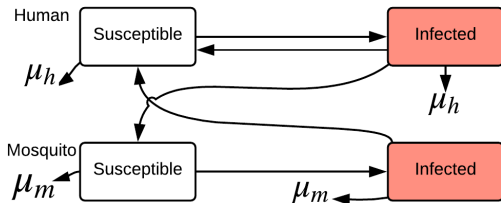


Conceptual model for malaria transmission



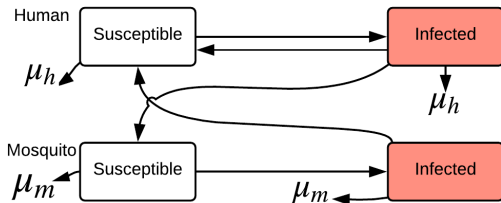
Conceptual model for malaria transmission

1. Target $I_m \rightleftharpoons S_h$ and $I_h \rightleftharpoons S_m$



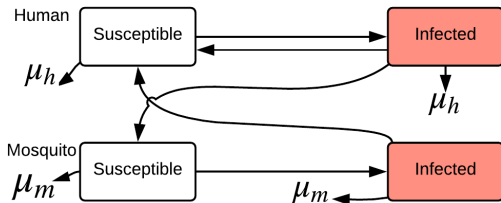
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2. Attractive Toxic Sugar Baits $\rightarrow \mu_m + \mu_{atsb}$



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Conceptual model for malaria transmission

1. Target $I_m \nRightarrow S_h$ and $I_h \nRightarrow S_m$
2. Attractive Toxic Sugar Baits $\rightarrow \mu_m + \mu_{atsb}$
3. Reduce the population of mosquito available to transmit malaria
4. Several entomological trials in Africa suggest promising mosquito feeding and death rate

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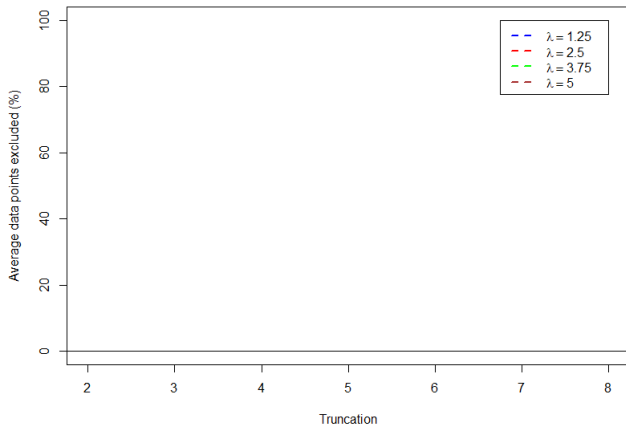
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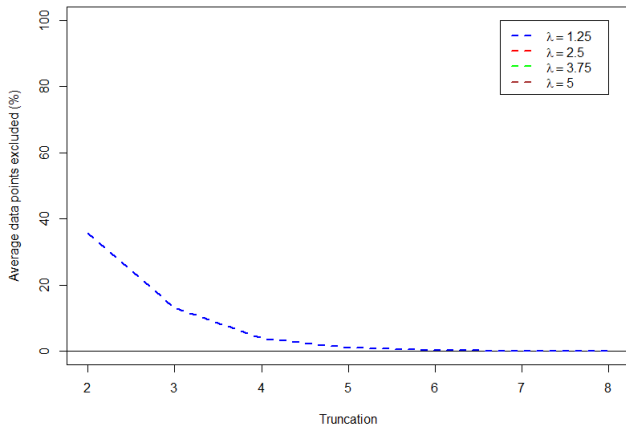
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- ▶ Standard of care in the two arms → Insecticide Treated Nets (ITNs)

Effect of truncation?

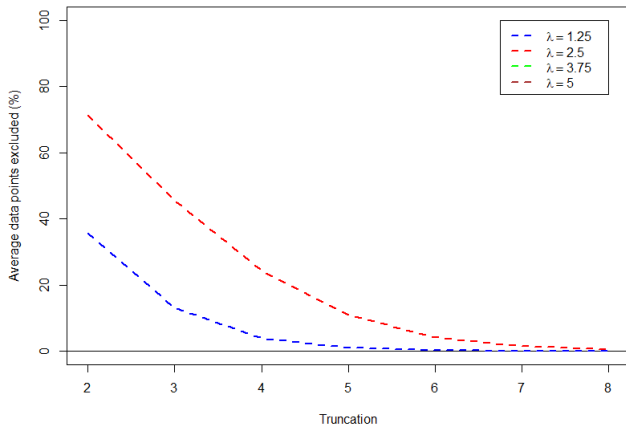
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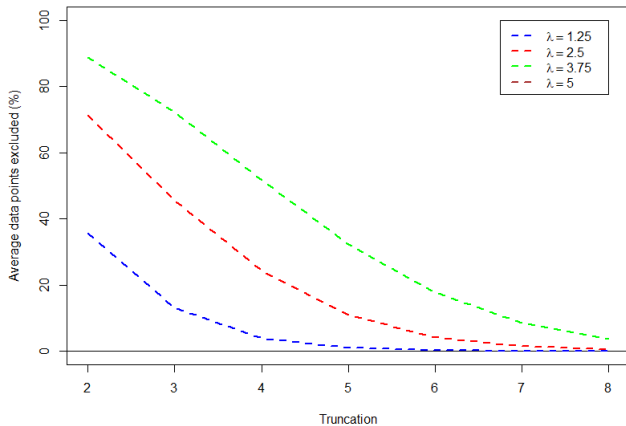
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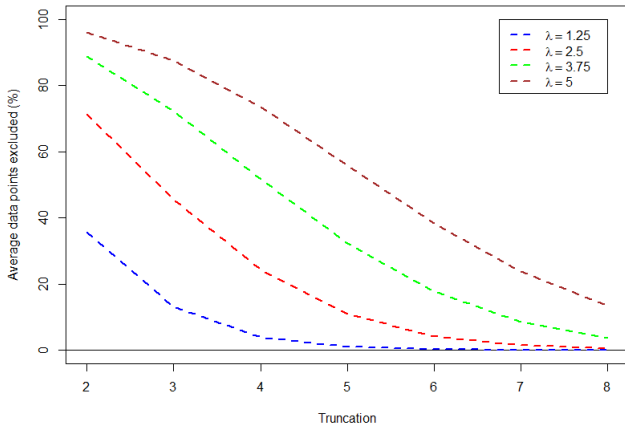
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Effect of truncation on events realised from a Poisson distributed outcome

$$P(Y = y; \lambda; y \leq T) = \frac{e^{-\lambda} \lambda^y}{y!} \left\{ \sum_{z=0}^T \frac{e^{-\lambda} \lambda^z}{z!} \right\}^{-1}, \quad y = 0, 1, 2, \dots$$

PMF of untruncated
Poisson distribution

Point of truncation

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PMF of untruncated Poisson distribution

Point of truncation

CDF over range of allowable values

The diagram illustrates the components of the power simulation formula. A red arrow points from the text 'PMF of untruncated Poisson distribution' to the fraction $\frac{e^{-\lambda} \lambda^y}{y!}$ in the equation. Another red arrow points from the text 'Point of truncation' to the variable T in the summation. A third red arrow points from the text 'CDF over range of allowable values' to the entire summation term $\left\{ \sum_{z=0}^T \frac{e^{-\lambda} \lambda^z}{z!} \right\}^{-1}$.

PMF of untruncated
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↑

Point of truncation

↕

CDF over range of
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$$\lambda = e^{\beta_0 + \beta_{Trt} \times Trt + c_i}$$

1 for ATSB and ITN
0 for ITN

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Random effect capturing
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$$c_i \sim N(0, \sigma_c^2)$$

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$$\rho = \frac{\sigma_c^2}{e^{\beta_0 + \sigma_c^2}}$$

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Random effect capturing
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Power:
Proportion of
simulated
samples where
ATSB has
significant effect

1 for ATSB and ITN
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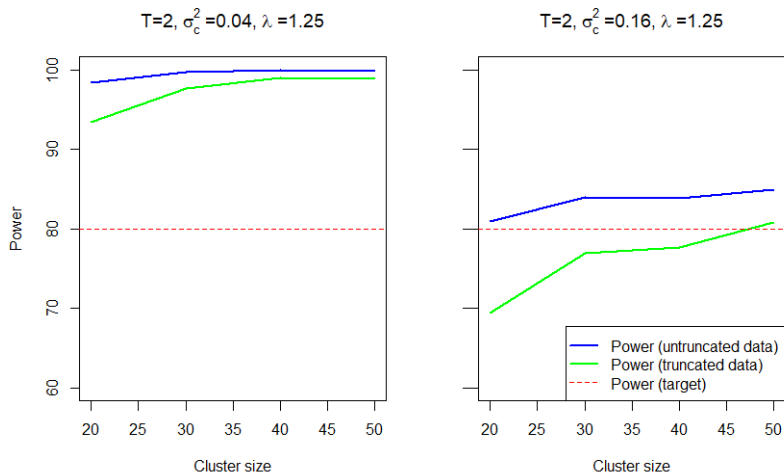


Figure 1: Statistical power for 50 clusters associated with low and moderate correlation for the number of malaria episodes in a year truncated at $T=2$

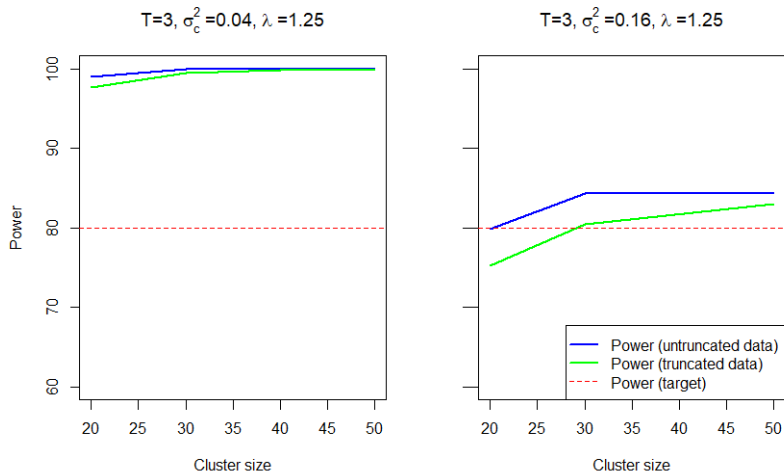


Figure 2: Statistical power for 50 clusters associated with low and moderate correlation for the number of malaria episodes in a year truncated at $T=3$

Conclusion

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Take home message

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Take home message

- ▶ **Truncation should always be considered in power calculations**








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Keith J Frazer



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Samer Mouksassi

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